

Simplified Vendor Certification With U.S. Export Control Laws and Regulations

Name: _____

Address: _____

Billing Address: _____

Export Compliance Point of Contact: _____ Title: _____

e-mail: _____ Telephone Number: _____

Please complete this form and e-mail a pdf copy to:

Kevin Presley – Compliance Manager

E-mail: kpresley@redviking.com

Telephone: (734) 454-0500

Before we can begin activities, discussions, or send you RedViking technical data (drawings, schematics, etc.) or hardware, your company must answer the following questions.

1. Is your company incorporated or registered to do business in the U.S.?
2. Do you have any foreign offices? If yes, please list all countries where offices are located. Please let us know where your head-quarters is located.
3. Where are your servers located?
4. Do you employ any foreign nationals? Foreign nationals are people who are not U.S. citizens or permanent resident (i.e. holds a student or work visa) (Green cards are acceptable).
5. If you employ foreign nationals, do you have any controls in place to limit their access to information? If you don't employ foreign nationals simply mark NA.
6. Are you registered with the Directorate of Defense Trade Controls (DDTC)?

7. Is your company NIST 800-171 compliant?
8. Is your company CMMC compliant? Are you third party certified?
9. Do you have an export compliance program?
10. Do you require all your employees to sign NDAs? How do you ensure employees will not share proprietary information?
11. Do you have a code of conduct policy or plan?
12. Do any of your products require conflict mineral reporting?
13. Has the applicant or the chief executive officer, president, vice-presidents, secretary, partner, member, other senior officers or officials (e.g., comptroller, treasurer, general counsel) or any member of the board of directors is the subject of an indictment or has been otherwise charged (e.g., by criminal information in lieu of indictment) for, or has been convicted of, violating any of the U.S. criminal statutes?
14. Is applicant or the chief executive officer, president, vice-presidents, secretary, partner, member, other senior officers or officials (e.g., comptroller, treasurer, general counsel) or any member of the board of directors ineligible to contract with, or to receive a license or other approval to temporarily import or export defense articles or defense services from any agency of the U.S. Government;